

(19) World Intellectual Property
Organization
International Bureau



(43) International Publication Date
17 June 2004 (17.06.2004)

PCT

(10) International Publication Number
WO 2004/050261 A1

(51) International Patent Classification⁷: **B05C 1/08**,
H01L 21/00

(21) International Application Number:
PCT/CH2003/000791

(22) International Filing Date: 2 December 2003 (02.12.2003)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:
60/431,346 5 December 2002 (05.12.2002) US

(71) Applicant (for all designated States except US): **UNAXIS
BALZERS AG** [LI/LI]; FL-9496 Balzers (LI).

(72) Inventor; and

(75) Inventor/Applicant (for US only): **OU-YANG, Chieh**
[US/US]; 458 N. Oakhurst Dr., Unit 103, Beverly Hills,
CA 90210 (US).

(74) Common Representative: **UNAXIS BALZERS AG**;
Patentabteilung SRLP, FL-9496 Balzers (LI).

(81) Designated States (*national*): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, OM, PH, PL, PT, RO, RU, SD, SE, SG, SK, SL, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZM, ZW.

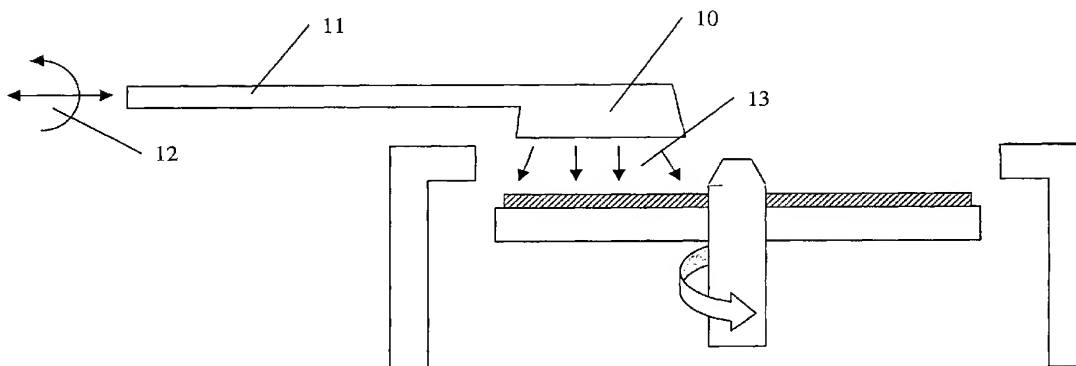
(84) Designated States (*regional*): ARIPO patent (BW, GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO, SE, SI, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

Published:

— with international search report

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: METHOD AND APPARATUS FOR CONTROL OF LAYER THICKNESSES



(57) Abstract: It is shown a method and apparatus for distributing a viscous liquid over a surface of a substrate (1), e. g. a semiconductor wafer or a datastorage media, by conditioning the substrate thermally, locally specific before or during the spin coating process.

WO 2004/050261 A1

METHOD AND APPARATUS FOR CONTROL OF LAYER THICKNESSES

CROSS REFERENCE TO RELATED APPLICATION

This application claims priority of US Appl. No. 60/431,346,
5 which is incorporated herein by reference.

FIELD OF THE INVENTION

This invention generally relates to the field of spin
10 coating of substrates, especially to a method and apparatus
for controlling the thickness distribution of the coating
by controlling the temperature distribution of the coating
on the substrate.

15 BACKGROUND OF THE INVENTION

As is well known in the prior art, especially in the field
of semiconductor manufacturing but also in certain areas of
optics or biotechnology, a homogenous distribution of
20 liquids on a essentially plane substrate may be achieved by
rotating (spinning) a substrate around an axis normal to the
plane given by its surface. By applying a viscous liquid
onto the surface during spinning centrifugal forces affect a
distribution of the liquid radially outwards over the
25 surface. Such „spinning“ technique is used to disperse e. g.
lacquer, resins, photo resist on semiconductor substrates.
Moreover it is utilised in the production of optical data
storage technology to provide an essentially homogeneous
layer of resin, lacquer, adhesive etc.. A special case is
30 the production of all type of DVD formats which require the
bonding of two half-disks.

A standard process for such distribution method is:

1) Dispensing a liquid on the substrate to be coated; eventually rotating it slowly during this step to achieve a advantageous initial spreading.

5 2) Spinning the disk at high speed (typically a few hundred rpm up to 12.000 rpm) to homogeneously distribute the liquid.

The thickness of the layer depends on parameters such as viscosity, temperature, rotation speed and rotation time.

10

For substrates with a centre hole the profile of the spin coated layer thickness shows a low-high trend from the inner radius towards the outer edge. This is due the fact that there is no liquid material at / close to the centre hole

15 which could flow outwards. This lack of material causes the reduced thickness at small radii.

The variation of the thickness distribution therefore will not be reduced to a minimized level by standard spin coating process. In order to achieve an optimized coating condition,

20 an extra treatment during spin coating process is required.

Moreover, for substrates without a centre hole it is difficult to achieve ramped thickness distributions, as would be of interest e. g. for photo resist coating

25 thickness in mastering applications.

It is therefore desirable to have a method to influence the radial thickness distribution during the spinning process.

The radial thickness dependence of a liquid's thickness is dictated by the physics of the spinning process and cannot be avoided with radially constant viscosity of the liquid.

30 The objective of the invention therefore is to provide a

method for controlling the viscosity of the liquid to be distributed during spinning.

DESCRIPTION OF PRIOR ART

5

In semiconductor manufacturing the use of cooling or heating chucks is widely known. Onto these chucks the semiconductor substrates, normally a wafer, are clamped and by thermal contact with the (heated or cooled) chuck the substrates' temperature is adjusted according to the needs of the particular processing step. However, such chucks seek to adjust temperatures homogenously over the complete area of the substrate.

A use and continuation of that principle is being shown in US 6,242,044 B1. This document describes a method to adjust the temperature of a CD radially from the centre to the edge. This is achieved in an embodiment with a central rotating shaft with a thereon mounted plate for carrying the CD.

The bottom of the shaft only is being actively cooled and via thermal conductivity a thermal gradient over the plate is being formed. The drawbacks of this method are as follows: The temperature gradient is being dependant on the material of the chuck and the environmental conditions such as ambient temperature. Moreover the system will need time to achieve a stable condition, i. e. the thermal gradient must develop.

Furthermore polycarbonate is a relatively good thermal insulator, therefore cooling and/or heating a liquid dispensed on the surface via the plastic substrate is inefficient.

SUMMARY OF THE INVENTION

To influence the liquid's viscosity in a spin coating process, especially the resin layer thickness distribution
5 of spin-coated substrates with a centre hole (e.g. optical disks like DVD, CD, Blu-Ray...etc.), a temperature gradient is created locally selectively before or during a spinning process by a heat source directed to the side of the substrate where the liquid is distributed on. Alternatively
10 a cooling source can be used to achieve such a temperature gradient.

DETAILED DESCRIPTION OF PREFERRED EMBODIMENTS

15 One embodiment of the invention comprises a stream of hot (temperature range 40-90°C) gas directed on the outer radius of the disk during spinning, in order to change the liquid's viscosity over the radius of the disk.

In another embodiment, the stream of hot gas is directed via
20 suitable means onto several areas of the substrate. Another embodiment allows to control temperature and/or flow of gas selectively to precisely control the liquid's viscosity and thereby thickness distribution of the liquid on the spin coated substrate. „Gas“ is to be understood in the broadest
25 manner, such as simple air or cleaned gases provided from gas reservoirs. Heating of the gas may be achieved electrically via a resistance heating or other technical suitable means.

This thermal conditioning may in another embodiment of the
30 invention be achieved by sources of electromagnetic radiation. This may comprise lamps with essential visible spectra or IR spectra.

In a further embodiment the invention's thermal source may comprise several sub sources, which can advantageously be directed to different locations of the substrate during spinning. This results in a control of thermal conditions in an essential circular region around the axis of rotation. Technically this may be achieved by e. g. a plurality of nozzles, directing heated or cooled gases to such regions of the substrate. Another variety is to use a plurality of lamps, such as IR radiators or halogen lamps, being arranged to aim at different locations of the substrate.

In case of gas nozzles, thermal conditioning of the substrate can easily be adjusted by regulating gas flow and/or temperature of the gas. In case of lamps this can be accomplished by regulating the power distributed to the respective lamp. For both cases the angle of the nozzle or lamp with respect to the plane of the substrate is a further parameter to finetune the thermal conditioning.

An apparatus suitable to implement the invention may comprise a rotatable support, dispensing means to spread a liquid on the surface of the substrate and means to fasten at least one thermal source in a position with respect to the substrate, where it can influence the thermal condition of the substrate. In one embodiment this fastening means may comprise a cover with the thermal source and, eventually, dispensing means affixed to it.

In a preferred embodiment the thermal sources are attached to an arm, which extends over at least a portion of the rotatable support. This arm, cover or in general fastening means may be movable in a way to clear the area of the substrate/support in order to load and unload the substrate from and to the support.

BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 is a cross sectional view of a rotational support with a substrate.

- 5 Figure 2 shows an embodiment of the invention with an arm extending over the substrate with several thermal sources. Figure 3 shows the result of an experiment according to the invention

10 DETAILED DESCRIPTION OF THE DRAWINGS

Figure 1 shows a substrate 1 on a support 2. The substrate has a centre hole which allows to centering it around a rotation axis 3. The arrow indicates the rotation of support
15 and substrate. Driving means for rotation are not shown. During rotation excess liquid is being spinned outwards, shields 4 and 6 protect the environment from such excess liquid.

- 20 Figure 2 shows the embodiment of Figure 1 with a movable arm 11 with a source of radiation 10. The movability of arm 11 is indicated by arrows 12. As can easily be understood, the arm may be moved linearly, pivoted horizontally or vertically or in any other suitable manner to clear the area
25 of substrate and support. This may be especially necessary when the substrate is being loaded or unloaded from the support. Loading and unloading mechanisms are not shown, they can be construed according to the state of the art. Arrows 13 indicate the effective direction of a plurality of
30 thermal sub sources.

In an application of the inventive method in an apparatus according to the invention, substrate 1 is being placed by

suitable means on support 2. Substrate 1 may be a semiconductor wafer, a datastorage media such as a CD, DVD or alike or any essentially flat workpiece. In a preferred embodiment arm 11 carries as well thermal sources as
5 dispensing means, so after placing the arm above the substrate liquid could be dispensed. This way the liquid is being spread upon the surface of substrate 1, eventually while being rotated slowly. After spreading the liquid the spinning of the substrate is initiated and in parallel to or
10 shortly after start of spinning the thermal conditioning begins. The conditioning time can be defined by precedent experiments, or alternatively contact free measurement means determine the end of the thermal conditioning.

15 Further on edge effects, like the build up of droplets at the edge of spin coated substrates can be avoided by selectively directing a thermal source at such edge.

Basically the thermal source(s) locally influence the
20 viscosity of the liquid, such that a desired distribution, homogenous or a selectively inhomogenous, on the surface of the substrate is being achieved.

In another way of using the invention the order of steps may be changed, in a way, that the substrate is being preheated
25 in the way described, the liquid being dispensed and spinned afterwards. This may be advantageous for applications, where e. g. mechanical, physical or chemical constraints do not allow the order described beforehand. In this case the thermal capacity of the substrate must be sufficient to hold
30 the thermal gradient until the liquid's viscosity can be influenced.

The inventive method may be performed at a single process station, where the thermal source, dispensing means and rotatable means are being joined. However it may be beneficial to distribute the steps of thermal conditioning, dispensing and spinning to several process modules.

Figure 3 shows results of a single heat source (flow of heated air) being directed to an outer region of an optical storage media during spin coating with resin. Comparison to an unconditioned sample shows significant improvement of layer homogeneity. In contrast to not heated substrates with monotone increasing thickness as a function of the radius, the thickness of the partially heated substrates decreases after reaching a maximum at a radius of about 35-45 mm. The thermal gradient applied between different areas of a substrate was just a few degrees, in case of a bonding lacquer on a DVD half disk about 4° C. Depending on viscosity and other environmental conditions a thermal gradient of about 10° C is sufficient for most applications.

WHAT IS CLAIMED IS:

1. A method for distributing a viscous liquid over a surface of a substrate, comprising the steps:
 - placing a substrate essentially horizontal on a support
 - applying a viscous liquid onto a surface of said substrate
 - rotating the substrate to distribute the liquid radially outwards and
 - conditioning the liquid on the substrate thermally, to influence its viscosity locally in a specific way.
2. A method according to claim 1, wherein the thermal conditioning is being effected by a thermal source of heat or cold placed above the surface of the substrate
3. A method according to claim 1, wherein the thermal conditioning is being effected by a stream of heated or cooled gas.
4. A method according to claim 1, wherein the thermal conditioning is being effected by a source of electromagnetic radiation.
5. A method according to claim 4, wherein the source of radiation is a lamp with essentially visible spectra or an IR radiator.
6. A method according to claim 2, wherein the thermal source comprises at least two sub sources.

7. A method according to claim 6, wherein the sub-sources are directed to different positions with regard to the radius on the substrate.
8. Apparatus for thermal conditioning a liquid on a rotating substrate comprising:
 - a rotatable support,
 - dispensing means for a liquid to be distributed on the substrate surface and
 - fastening means for at least one thermal source placed above the substrate.
9. Apparatus according to claim 8, wherein the fastening means comprise a cover, extending over at least a part of the support.
10. Apparatus according to claim 8, wherein the fastening means is construed as an arm, extending over at least a portion of the support.
11. Apparatus according to claim 8, wherein the thermal source comprises one of at least a source of radiation, a lamp, an IR radiator, a stream of hot or cool gas.
12. Apparatus according to claim 8, wherein the dispensing means are mechanically affixed to the fastening means.
13. Apparatus according to claim 8, wherein the fastening means are movable with respect to substrate and support in order to remove the arm at least during loading and unloading of the substrate.
14. A method for distributing a viscous liquid over a surface of a substrate, comprising the steps:

- rotating the substrate on an essentially horizontal support and
 - conditioning the substrate thermally, locally specific
- 5
- applying a liquid onto a surface of said substrate
 - rotating the substrate such that the liquid is being radially distributed according to a desired distribution.

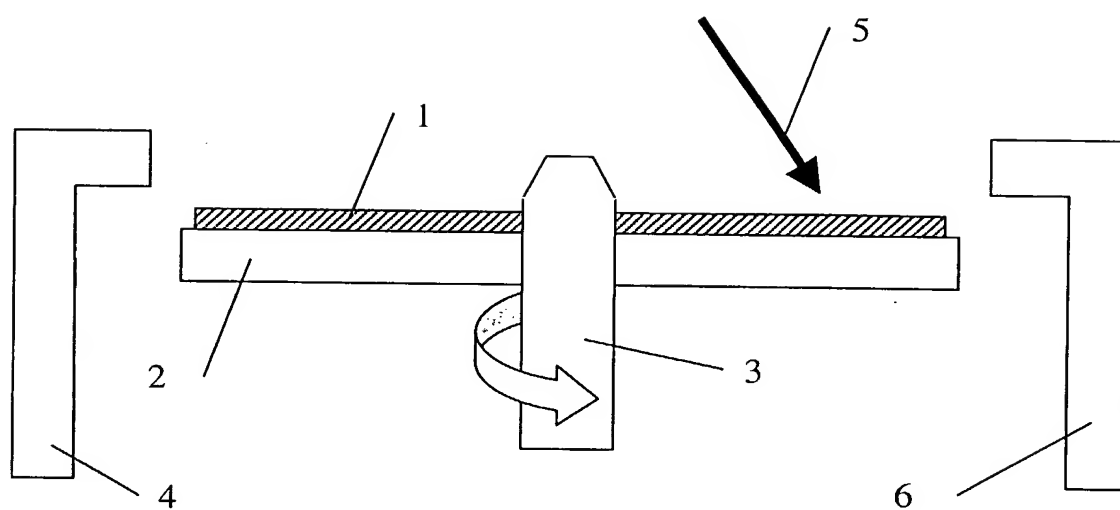


Fig. 1

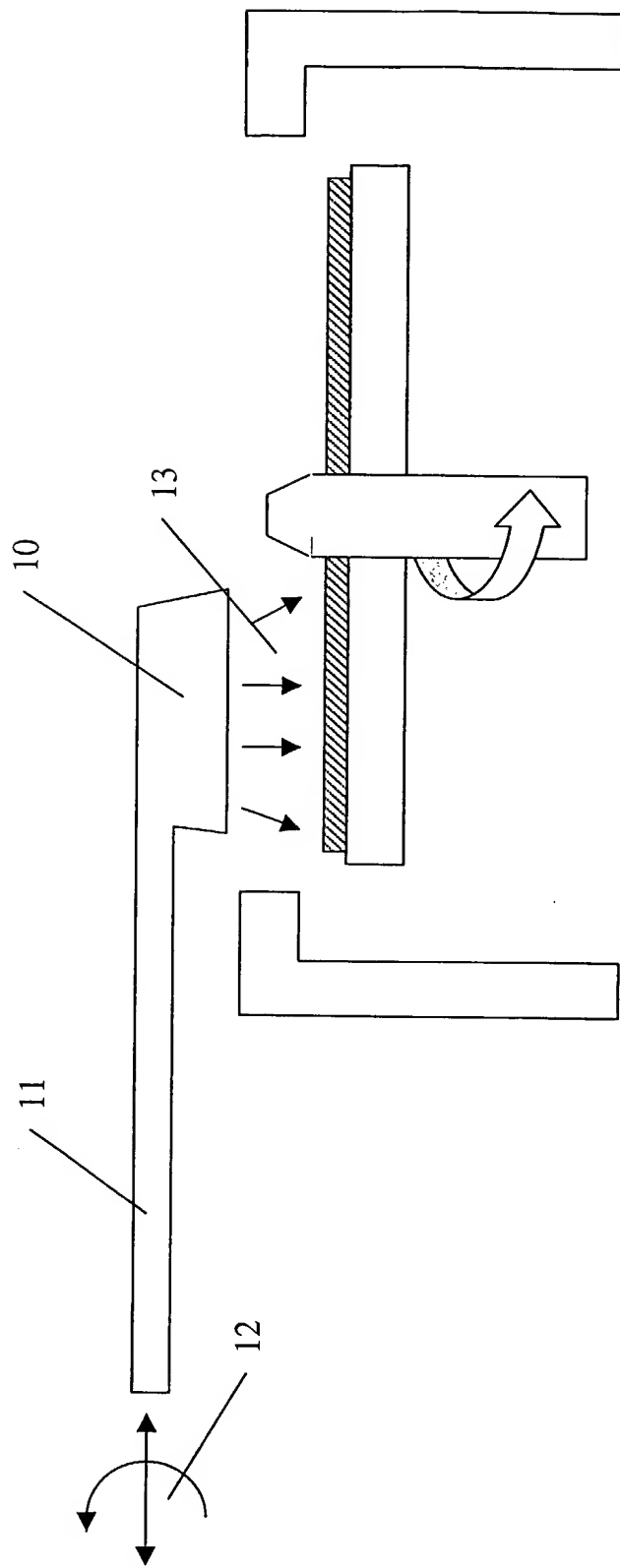


Fig. 2

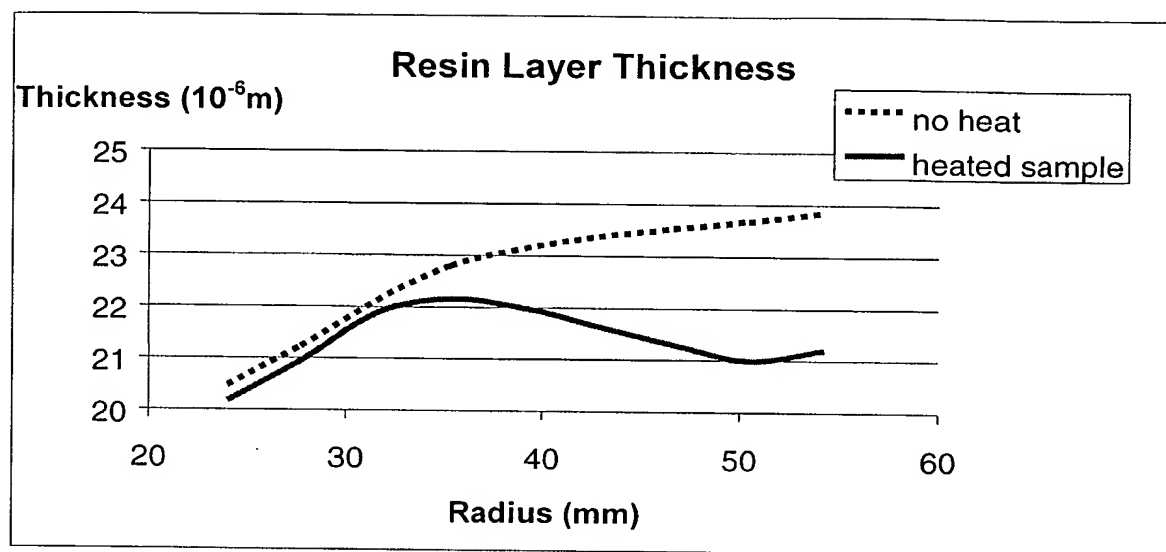


Fig. 3

INTERNATIONAL SEARCH REPORT

International Application No

PCT/CH 03/00791

A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 B05C1/08 H01L21/00

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 B05C H01L B05D G03F

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, PAJ

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 99/16019 A (HEINEMANN ERIK ; HOUDEAU DETLEF (DE); PUESCHNER FRANK (DE); SIEMENS AG) 1 April 1999 (1999-04-01) the whole document	1-3, 8, 10-14
X	US 2002/011478 A1 (RATLIFF CHRISTOPHER ET AL) 31 January 2002 (2002-01-31) column 4, paragraph 0066 - paragraph 0085; figures 2a, 2b, 3, 4b, 4c, 5a, 5b, 6a, 6b, 6c, 8	1-3, 6-9, 11, 12, 14
X	US 6 174 651 B1 (THAKUR RANDHIR P S) 16 January 2001 (2001-01-16) column 5, line 18 - column 7, line 55 column 9, line 27 - line 39; figures 1, 2, 1a	1, 2, 5-9, 14
	----- -/--	

☒ Further documents are listed in the continuation of box C.☒ Patent family members are listed in annex.

* Special categories of cited documents:

- *A* document defining the general state of the art which is not considered to be of particular relevance
- *E* earlier document but published on or after the international filing date
- *L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- *O* document referring to an oral disclosure, use, exhibition or other means
- *P* document published prior to the international filing date but later than the priority date claimed

- *T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- *X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- *Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- *&* document member of the same patent family

Date of the actual completion of the international search

27 February 2004

Date of mailing of the international search report

09/03/2004

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2
 NL - 2280 HV Rijswijk
 Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,
 Fax: (+31-70) 340-3016

Authorized officer

Jelercic, D

INTERNATIONAL SEARCH REPORT

International Application No

PCT/CH 03/00791

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	EP 0 840 357 A (EBARA CORP) 6 May 1998 (1998-05-06) page 6, line 15 - line 39; figures 1-4 -----	5,6
A	US 5 487 127 A (GIBBONS JAMES F ET AL) 23 January 1996 (1996-01-23) column 3, line 32 - line 67; figure 3 -----	3

INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/CH 03/00791

Patent document cited in search report		Publication date		Patent family member(s)	Publication date
WO 9916019	A	01-04-1999	DE	19742126 A1	25-03-1999
			WO	9916019 A1	01-04-1999
US 2002011478	A1	31-01-2002	US	6300600 B1	09-10-2001
			AU	6904700 A	13-03-2001
			CN	1420978 T	28-05-2003
			EP	1226395 A1	31-07-2002
			JP	2003507881 T	25-02-2003
			TW	473785 B	21-01-2002
			WO	0113054 A1	22-02-2001
			US	2003024920 A1	06-02-2003
			US	2003089698 A1	15-05-2003
			US	6462310 B1	08-10-2002
US 6174651	B1	16-01-2001	EP	1141779 A1	10-10-2001
			WO	0042474 A1	20-07-2000
			JP	2003523614 T	05-08-2003
			TW	452850 B	01-09-2001
EP 0840357	A	06-05-1998	JP	3405439 B2	12-05-2003
			JP	10180209 A	07-07-1998
			EP	0840357 A2	06-05-1998
			US	6205676 B1	27-03-2001
			US	2001029964 A1	18-10-2001
			US	6240931 B1	05-06-2001
US 5487127	A	23-01-1996	US	5317492 A	31-05-1994
			US	5155336 A	13-10-1992
			US	6016383 A	18-01-2000
			US	5683173 A	04-11-1997
			US	5840125 A	24-11-1998
			US	6434327 B1	13-08-2002
			US	5689614 A	18-11-1997
			US	5708755 A	13-01-1998
			US	5743643 A	28-04-1998
			US	5767486 A	16-06-1998
			US	5790751 A	04-08-1998
			US	6122439 A	19-09-2000
			DE	69118513 D1	09-05-1996
			DE	69118513 T2	02-10-1996
			DE	69132826 D1	03-01-2002
			DE	69132826 T2	22-08-2002
			EP	1049356 A2	02-11-2000
			EP	0511294 A1	04-11-1992
			EP	0695922 A1	07-02-1996
			JP	6093440 B	16-11-1994
			JP	5503570 T	10-06-1993
			WO	9110873 A1	25-07-1991